



LexisNexis® | *Regulatory Compliance*

Regulatory Compliance Online Portal

FEATURES SPECIFICATION DOCUMENT

Contents

Welcome	3
Home Page	3
Search Results/Register Page	4
Alert Listing Page	5
Obligation Page – Overview Tab	6
Obligation Page – Related Alerts Tab	7
Obligation Page – Tools Tab	7
Alert Page	8
Further Queries	8

Welcome

Welcome to the LexisNexis® Regulatory Compliance Online Portal, the secure online compliance register and alerting solution that is designed to help you forge a clear path to compliance. This Features Specification Document, relating to the LexisNexis Regulatory Compliance Online Portal (“the online portal”), will show you the updates that have been made to the online portal.

More About The Online Portal

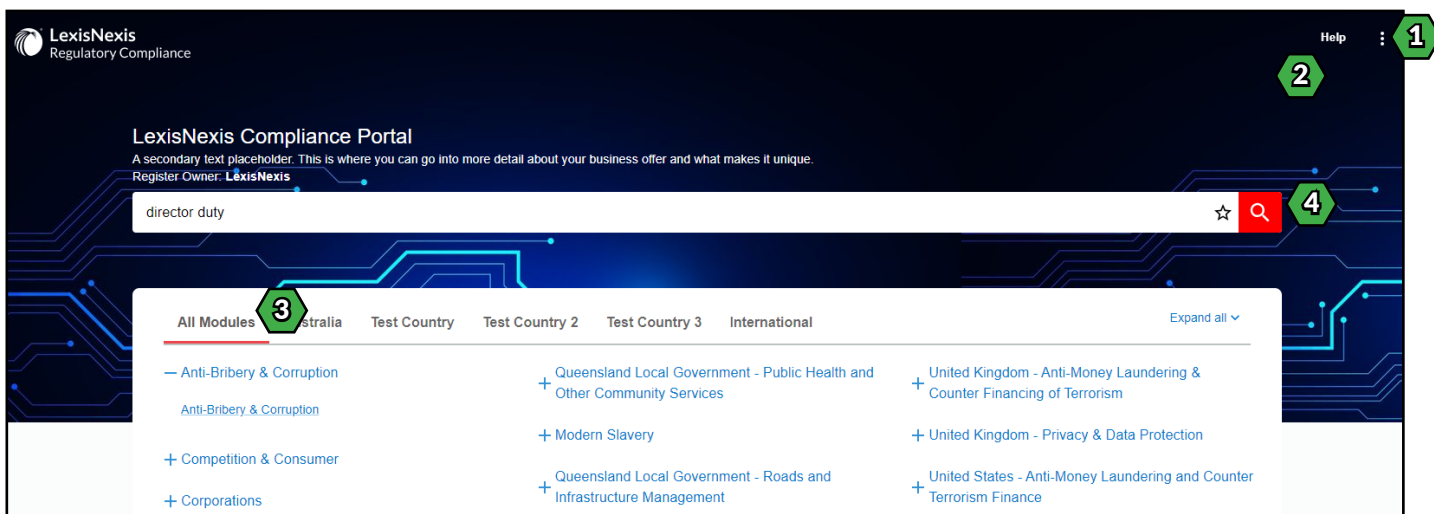
The online portal is hosted by an ISO 27001 certified provider.

- **For Australian-based subscribers:** disaster recovery is in Australia and is active/active within an RTO of less than 24 hours.
- **For UK-based subscribers:** disaster recovery is in the UK and is active/active within an RTO of less than 24 hours.

Customer data can only be accessed by authorised users and each user can set or reset their password. LexisNexis can only make subscription changes, at your request, and at no time access your organisation’s data.

Home Page

Upon logging into the portal, the subscriber will be taken to the home page where the modules within their subscription will be shown in alphabetical order grouped by country.



Features:

1. The menu button includes options to logout and update password
2. The Help link takes the subscriber to the Community Portal
3. Modules within the subscription are grouped by country and then alphabetically within the country; subscribers can then expand to see the submodules underneath the module
4. The Global Search bar will search all obligations within the subscriber’s subscription and return list of relevant obligations based on the search term used.

Search Results/Register Page

Upon logging into the portal, the subscriber will be taken to the home page where the modules within their subscription will be shown in alphabetical order grouped by country.

The screenshot displays the LexisNexis Regulatory Compliance interface. On the left, a sidebar lists 'Obligations' (Anti-Bribery & Corruption, Competition & Consumer, Corporations, ASX Listing Rules, Company Formation and Operation, Employment) and 'Modules' (Competition & Consumer, ASX Listing Rules, Company Formation and Operation, Employment). The main content area shows search results for 'Competition & Consumer'. A table lists obligations with columns: ID, Alerts, Tools, Compliance Status, Date Reviewed, Risk Impact, and Risk Likelihood. The table includes rows for 'Competition and Consumer Overview', 'Business Model and Strategy', 'Establishing a Compliance Program', and 'ACCC Authorisations'. Callouts 5 through 15 highlight specific features: 5 (Search Modules), 6 (Obligations list), 7 (Competition & Consumer filter), 8 (Competition & Consumer filter), 9 (Competition & Consumer filter), 10 (Edit icon), 11 (Export CSV), 12 (Alerts count), 13 (Tools count), 14 (Pagination), and 15 (Jurisdiction filter).

Features:

5. Subscribers can further refine their search by searching within the initial search result set
6. Subscribers can toggle between modules within their subscription
7. Filtering the search result by Jurisdiction
8. Filtering the search result by Obligation Status [Active | Archived]
9. Asset Version – navigating to a previous version of a register, only available if:
 - a. The subscriber has first navigated to the Register Page for a particular module
 - b. The subscriber's organisation has previously locked in versions
10. The subscriber can edit the subscriber data (Compliance Status | Owner | Date Reviewed | Risk Impact | Risk Likelihood | Control Actions)
11. Export the page to CSV, the CSV file will also contain the obligation details i.e. Practical Guidance, Consequences, etc
12. The number of Tool(s) associated with the Obligation, if none, then it will be blank
13. The number of Alert(s) associated with the Obligation, if none, then it will be blank
14. Depending on the number of search result(s) it would flow through multiple pages
15. By first selecting a module, the subscriber can then navigate to all alerts for that module

Alert Listing Page

This is all alerts contained within the chosen module

The screenshot displays the LexisNexis Regulatory Compliance Alert Listing Page. The interface includes a sidebar with a table of contents (TOC) listing modules 1 through 8. The main content area shows a search bar, filters for 'All jurisdictions' and 'All types', and date range filters for 'Start date' and 'End date'. A table of alerts is displayed with columns: ID, Alert Title, Jurisdiction, Type, Obligations, and Published date. The table contains 19 rows of alert data. A 'Search Alerts' button is located in the top right corner. A 'Export CSV' button is also present. The page is numbered 1, 2, 3, and 4.

ID	Alert Title	Jurisdiction	Type	Obligations	Published date
8	ipsum minim	green	ea	3 Obligations	2023-11-30T05:42:00 -11:00
28	cillum excepteur	green	officia	8 Obligations	2023-09-03T01:00:21 -10:00
16	esse excepteur	blue	magna	9 Obligations	2023-06-24T05:34:55 -10:00
7	proident dolor	blue	aliquip	3 Obligations	2022-12-04T07:41:45 -11:00
18	quis ut	green	culpa	9 Obligations	2021-11-27T12:05:08 -11:00
27	commodo ipsum	green	nisi	6 Obligations	2021-07-23T10:56:41 -10:00
25	laboris commodo	blue	culpa	0 Obligations	2020-11-07T07:33:02 -11:00
10	incididunt minim	green	culpa	6 Obligations	2020-08-06T04:34:05 -10:00
13	Lorem veniam	blue	cillum	0 Obligations	2020-07-19T07:57:17 -10:00
19	anim labore	brown	est	4 Obligations	2020-06-22T09:40:18 -10:00

Features:

- Subscribers can search the alert content
- Subscribers can toggle between modules within their subscription
- Filtering the search result by Jurisdiction
- Filtering the search result by Alert Type [FYI | Action Required | News]
- Date Range – filtering on the alert publication date
- Export the page to CSV, the CSV file will also contain the alert details i.e. Description, Impact on Obligations, etc
- The number of Obligation(s) associated with the Alert
- Depending on the number of Alert(s) it would flow through multiple pages

Obligation Page – Overview Tab

For a chosen obligation, it has 3 tabs:

- Obligation Overview – detail of the selected obligation
- Related Alerts – alerts related to the selected obligation
- Tools – tools related to the selected obligation

The screenshot displays the LexisNexis Regulatory Compliance interface. At the top, a dark blue header contains the LexisNexis logo, a search bar, a dropdown for 'All Jurisdictions', and a red search button. Below the header, the page title 'Becoming an Approved Provider (Active)' is shown, along with a 'Back' link and a breadcrumb trail. A navigation bar features three tabs: 'Obligation Overview' (active), 'Related Alerts 9', and 'Tools 6'. On the left, a sidebar lists various sections: Subobligations, Practical Guidance, Remedial Action, Description Questional, Description Directional, Definition, Consequence, and Compliance Source. A green callout '24' points to this sidebar. The main content area is titled 'Becoming an Approved Provider' and is divided into two sections: 'Subobligations' (marked with a green callout '25') and 'Practical Guidance'. The 'Subobligations' section lists three items: 'Application for approval as a provider', 'Suitability of applicant to provide aged care', and 'Suitability of proposed key personnel'. The 'Practical Guidance' section contains detailed text about the application process, including requirements for documentation and eligibility. On the right, a 'Basic Info' sidebar (marked with a green callout '26') provides metadata: 'Updated: Thursday, 26 July 2018', 'Status: Not Compliant', 'Compliance Status: Core', 'Obligation Type: Core', 'Risk Impact: Low', 'Risk Likelihood: Medium', and 'Control Action: None to be taken'. A green callout '27' points to a print icon in the top right corner.

Features:

24. Page anchors for the Obligation Page
25. If the selected obligation is a Core Obligation then its Sub Obligation will be listed
26. Info Tab contains metadata related to the selected obligation:
 - a. Jurisdiction
 - b. Obligation Type [Core Obligation | Sub Obligation]
 - c. Latest publication date
 - d. Obligation Status [Active | Archived]
 - e. Owner
 - f. Compliance Status
 - g. Risk Impact
 - h. Risk Likelihood
 - i. Control Action
27. Print to PDF

Obligation Page – Related Alerts Tab

LexisNexis
Regulatory Compliance

Search
All Jurisdictions

Help

Becoming an Approved Provider (Active)

Back
Becoming an Approved Provider

Obligation Overview
Related Alerts 9
Tools 6

Subobligations
Practical Guidance
Remedial Action
Description Questional
Description Directional
Definition
Consequence
Compliance Source

Alert title

Alert Title	Jurisdiction	Obligations	Type	Published date
Mandatory Evacuation Order issued for [City, State]	California	5 Obligations	notice	2024-02-26T15:46:00Z
School Closures Announced in [District, State]	California	6 Obligations	notice	2024-02-26T12:00:00Z
Testing Requirements Implemented for [State] upon Arrival	Massachusetts	9 Obligations	notice	2024-02-25T15:30:00Z
Curfew Implemented in [County, State] Due to Public Safety Concerns	Texas	8 Obligations	notice	2024-02-25T10:00:00Z
Non-Essential Businesses Ordered to Close in [City, State]	Florida	7 Obligations	notice	2024-02-24T17:00:00Z
Gathering Restrictions Enforced in [County, State]	Oregon	4 Obligations	notice	2024-02-23T14:45:00Z
[Public Health Agency] Issues Mask Mandate for Indoor Settings	New York	2 Obligations	notice	2024-02-22T12:30:00Z
Travel Restrictions Imposed for [State]	Washington	1 Obligations	notice	2024-02-21T09:15:00Z
Stay-at-Home Order Issued for Vulnerable Populations in [State]	Illinois	3 Obligations	notice	2024-02-20T08:00:00Z

MOHS

Info
Keywords

Basic Info
Updated: Thursday, 26 July 2018
Status: Not Compliant
Compliance Status: Core
Obligation Type: Core
Risk Impact: Low
Risk Likelihood: Medium
Control Action: None to be taken

Obligation Page – Tools Tab

LexisNexis
Regulatory Compliance

Search
All Jurisdictions

Help

Becoming an Approved Provider (Active)

Back
Becoming an Approved Provider

Obligation Overview
Related Alerts 9
Tools 6

Subobligations
Practical Guidance
Remedial Action
Description Questional
Description Directional
Definition
Consequence
Compliance Source

Title

Title	Type	URL
COVID-19 Vaccine Mandates: A Global Overview	Flowchart	Link
The Ethics of Vaccine Mandates	Flowchart	Link
Mask Mandates: Balancing Public Health and Individual Liberty	Flowchart	Link
Vaccine Mandates in the Workplace: Legal Considerations	Checklist/Audit	Link
The History of Vaccine Mandates in the United States	Checklist/Audit	Link
The Impact of Vaccine Mandates on Vaccination Rates	Link	Link
Religious and Medical Exemptions to Vaccine Mandates	Checklist/Audit	Link
Global Efforts to Promote Vaccine Equity and Access	Link	Link
The Future of Vaccine Mandates: Balancing Public Health and Individual Rights	Link	Link
Public Opinion on Vaccine Mandates: A Global Perspective	Checklist/Audit	Link

MOHS

Info
Keywords

Basic Info
Updated: Thursday, 26 July 2018
Status: Not Compliant
Compliance Status: Core
Obligation Type: Core
Risk Impact: Low
Risk Likelihood: Medium
Control Action: None to be taken

Alert Page

The screenshot shows the LexisNexis Regulatory Compliance interface. At the top, there's a search bar and a dropdown for 'All Jurisdictions'. The main heading is 'Commencement of the 'increasing choice in home care' reforms (Archived)'. Below this, a breadcrumb trail shows 'Back > Commencement of the 'increasing choice in home care' reforms'. On the left, a sidebar lists navigation options: 'Related Obligations', 'Jurisdiction', 'Description', 'Effective Date', 'Impact On Obligation', and 'Compliance Source'. The main content area is titled 'Commencement of the 'increasing choice in home care' reforms' and features a 'Related Obligations' section with a link to 'Approval of Home Care Recipients and the National Prioritisation Process'. Below this is a 'Description' section detailing the reforms, including a list of key changes: 'Home care package funding will 'follow' the care recipient, allowing them to choose their providers', 'Home care packages will be portable, so care recipients can change providers', and 'Home care assessments will have increased specificity (classifying recipients into package level 1, 2, 3 or 4)'. On the right, an 'Info' tab is active, displaying 'Basic Info' with fields for 'Status: ACTIVE', 'Updated: Invalid Date', 'Author: Amila Perera', and 'Submission Date: 2017-02-27'. A 'Keywords' tab is also visible.

Features:

28. Page anchors for the Alert Page
29. The listing of the related obligation(s)
30. Info Tab contains meta data related to the selected alert:
 - a. Jurisdiction
 - b. Alert Type [FYI | Action Required | News]
 - c. Latest publication date
 - d. Alert Status [Active | Archived]
31. Print to PDF

Further Queries

If you have any queries about the LexisNexis Regulatory Compliance Online Portal, or about other LexisNexis products and services, please:

- contact your relationship manager;
- email compliance@lexisnexis.com.au



About LexisNexis Regulatory Compliance

LexisNexis Regulatory Compliance helps you forge a clear path to compliance. With LexisNexis content know-how at the core, our compliance registers, alerts, and information-driven solutions make compliance uncomplicated for GRC professionals across the globe.

- Find relevant obligations faster with jargon-free registers that are aligned to your business processes.
- Stay up to date with near-real time alerts delivered straight to your inbox when you may be impacted by regulatory change.
- Explore your compliance obligations under a particular regulator, or a particular compliance source, with SourceData.
- Engage with the wider compliance community and LexisNexis experts through the Community Portal, our self-support platform.
- Access comprehensive, current LexisNexis content that meets your unique needs, with key core modules relevant to all businesses, and a rapid accelerating roadmap of industry-specific modules that guide your path to compliance.

Authored by leading legal and industry experts, and supported by flexible technology that works the way you do, LexisNexis Regulatory Compliance gives you peace of mind while saving time, and money.

About LexisNexis

LexisNexis is part of RELX Group, a world-leading provider of information and analytics for professional and business customers across industries. LexisNexis helps customers to achieve their goals in more than 175 countries, across six continents, with over 10,000 employees.