

LexisNexis® Regulatory Compliance Online Portal - Update

Welcome to the new LexisNexis Regulatory Compliance Online Portal. Enhanced. Flexible. Seamless.

We have made a host of changes to our Online Portal, based on direct client feedback, specifically designed to give you a better experience when using our compliance registers and alerts. This means that your path to compliance just got easier.

Summary of Functionality Changes:

Below is a summary of what the changes are to existing functionality and what new functionality has been added. Content in **red font** indicates new functionality.

Topic	Current version	Enhanced version
Jurisdiction hosting	AU and UK (United Kingdom), hosted by an ISO 27001 certified provider.	AU and UK, hosted by an ISO 27001 certified provider.
Access	Anywhere with internet availability.	Anywhere with internet availability.
Data continuity	Users can nominate obligation owners, assign compliance status, review dates etc.	The work customers have done to their organisation's registers will remain populated in the new user interface.
Access methods	Users can access the registers in Excel and via the portal, and receive alerts sent via email.	Users can access the registers in Excel and via the portal, and receive alerts sent via email or via portal.
Content update	Automatic register (i.e. obligations and tools) update, refreshed in line with the monthly refresh of content.	Automatic register (i.e. obligations and tools) update, refreshed in line with the monthly refresh of content AND alerts updated daily in system.
List view	Content available to subscribers limited to one module at a time (i.e. you cannot see a range of obligations that extend across more than one module).	Content available to subscribers across their entire subscription (i.e. you can now see a range of obligations that extend across more than one module).
Search function	Module search function limited to one module at a time (i.e. you cannot search a range of obligations that extend across more than one module).	Module search expanded to search across user subscription with further filtering available on initial search result set.

Tool access	All obligations link to a tools page, even where there are no tools linked to an obligation.	Tools and alerts count on the register page, indication both whether there are tools linked an obligation and if there are alerts relating to that obligation.
User Obligation response – Lock	<p>To edit an obligation, first select it by clicking on the box next to the obligation's ID number.</p> <p>At the bottom of the page, two buttons will appear click Acquire Lock.</p> <p>Once the necessary editing has been completed, click the Commit button to save the changes.</p> <p>Otherwise, click the Release Lock to abandon the changes you have made.</p> <p>A user can acquire up to four locks at any one time.</p>	There is no change to this process with the new user interface. The process will remain the same.
Export to .csv	Export to .csv function allows users to export the top level of the module (i.e. the ID numbers, names of obligations, responsible owner, compliance status etc).	Export to csv now includes underlying obligation fields (i.e. Description, Practical Guidance, Remedial Action etc.).
Attaching user data	<p>Users can attach user data to each core obligation and sub-obligation can be edited to reflect:</p> <ul style="list-style-type: none"> • Who is responsible for ensuring compliance; • Compliance status; • Date reviewed; • Risk impact; • Risk likelihood; and • Control action. 	<p>No change to free text entry</p> <p>** cannot change the column titles or add additional fields / Columns unless you Export each register via CSV.</p>
Navigate between content	Users can navigate from module to sub-module, to core and sub-obligation and tools.	Users can now navigate between obligations, alerts, and tools.

General FAQ's

Q. When is the enhanced Online Portal going live?

A. 14th March 2024.

Q. Why are you making this change?

A. We have received and listened to extensive customer feedback. Customers have told us that they want an enhanced experience when using our Online Portal Compliance Registers – one that is intuitive, clean, and easier to use. Specifically, our clients have told us that they want:

- Expanded search functionality.
- Modules grouped by country and industry.
- Ability to export all your data into Excel.
- Easy navigation between obligations, alerts, and tools.

Q. Does a register's content structure change as part of this enhancement?

A. There will be no changes to the content structure. The content you receive will be the same as always, however, you will now have a more seamless experience in engaging with and using the registers and alerts.

Q. Will there be a price increase for my existing subscription?

A. There will be no price increase as part of this enhancement.

Q. Will there be a change to the alerts process?

A. Our aim is to provide you with alert flexibility. You can still receive alerts via email, or you can now access them via the Online Portal.

Q. I have access to your Excel Registers. Will this project impact the Excel Registers?

A. You will still be able to access and use the Excel Registers as you normally do. There will be no changes to the Excel Registers.

Q. I have access to content via GRC (Governance, Risk, and Compliance) software. Will this project impact this?

A. The changes to the Online Portal do not impact GRC software. You will still be able to access and use your content via your GRC software, as you normally do.

Q. Can users select modules they wish to subscribe to, or do they have to subscribe to all? i.e. AML CTF only,

A. Subscribers (as long as the organisation is within their user band) can choose to have all or a subset of modules that the organisation is subscribed to. Therefore, there is no change to the current way.

Q. Can users disable alerts on the online portal?

A. Subscribers can not disable alerts on the online portal. They will have access to all alerts to the modules that they have subscribed to on the portal but can choose to turn that off for emails.

Q. Can users filter alerts that are not applicable by module? Currently some are relying on Outlook filters.

A. On the portal, all alerts associated with the module is present but they can filter by:

Alert listing page

- Jurisdiction
- Status – Active/Archived
- Type – FYI/Action Required/News
- Published Date

Register page

- First filter on the Compliance status or Obligation Owner
- Get to the relevant alerts via the obligation

Q. Can subscribers edit multiple obligations at one time? Current portal allows 4 at a time.

A. There is no change from the current process. Currently, you are limited to 4 but there are plans to increase that in future releases.

Q: Is the new Online Portal able to replace my current GRC platform?

A: No. This is not designed to replace your GRC platform. Rather, we have simply updated and enhanced our current compliance register.

Q: How is a GRC platform different from the updated Online Portal?

A: If you are a subscriber and have access to the Online Portal, you will enjoy the following enhancements:

- Access your alerts, obligations, and tools all on one platform;
- Expanded search functionality;
- Modules grouped by country and industry;
- Simple navigation between obligations, alerts, and tools; and
- Ability to export all your data into Excel.

However, generally speaking, GRC platforms can offer the following functionalities not available in the Online Portal:

- Document Management;
- Incident Management;
- Risk Management;
- In Built Reports.